

Electronic Acknowledgement Receipt

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International Application Number:	
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Title of Invention:	COMPUTER-IMPLEMENTED SECURITIES TRADING SYSTEM
First Named Inventor/Applicant Name:	TIMOTHY M. KEISER
Customer Number:	63710
Filer:	Christopher David Agnew/David Postolski
Filer Authorized By:	Christopher David Agnew
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Application Type:	Utility under 35 USC 111(a)

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Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	NPL Documents	04-6191WOAU_091127_Exam_report.pdf	170430 77181a19dfb346fe15bcf0d6e52bbf2beb20f49d	no	3

Warnings:

Information:

2	NPL Documents	04-6191WOAU_100222_Official_Notice_of_Acceptance.pdf	110179	no	3
			a5373f6f59351f10abd18239cb5ecd45b93306ed		
Warnings:					
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3	NPL Documents	98-HSX001-CIP3_100810_3mo_Non-Final_Office_Action.pdf	1866639	no	48
			1d9b4bdd92b71638417f64473b3e5b1ebb2ee035		
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4	NPL Documents	03-6164_Application_As_Filed_from_PAIR.pdf	1724403	no	36
			1c1c64c55741070b2bc8dc1999b290c6f90f7a03		
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5	NPL Documents	03-6161_030922_Application_as_filed.pdf	2464961	no	58
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6	NPL Documents	09660296_000913_APP.pdf	1609293	no	38
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Information:					
7	NPL Documents	04-6196_050721_Application_as_published.pdf	171924	no	16
			8f7a164ce5f5ed05f356b2217293fc945a9a688e		
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8	NPL Documents	10-914706_APP.pdf	1423410	no	33
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9	NPL Documents	04-6191-C1_090320_Application.pdf	1487909	no	33
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10	NPL Documents	04-6191-C2_100625_Application.pdf	1487909	no	33
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11	NPL Documents	04-6197_050721_Application_ as_published.pdf	166082	no	16
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12	NPL Documents	04-6197CIP_050922_Application_ n_as_published.pdf	185691	no	18
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13	NPL Documents	03-6157- C1_091109_Application_Original.pdf	1503627	no	26
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14	NPL Documents	04-6197-C1_100217_AP.pdf	124270	no	27
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15	NPL Documents	04-6197CIP-C1_100221_AP.pdf	159224	no	44
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17	NPL Documents	09465607_APP.pdf	844426	no	22
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18	NPL Documents	10655409_APP.pdf	855882	no	23
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19	NPL Documents	98-HSX001-C6_app.pdf	1717078	no	50
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20	NPL Documents	98-HSX001_960325_AP.pdf	962468	no	33
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21	NPL Documents	98-HSX001CIP1_981102_AP.pdf	2056756	no	44
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22	NPL Documents	98-HSX001-C4_20021121_AP.pdf	2172754	no	44
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23	NPL Documents	99-HSX001-CIP2_990825_AP.pdf	1061627	no	26
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24	NPL Documents	03-6157_040414_Application_As_Filed_Plus_Prelim_Amendment.pdf	1347253	no	33
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25	NPL Documents	98-HSX001-C5_Advisory_Action.pdf	207700	no	3
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26	NPL Documents	98-HSX001-C6_090707_Notice_of_Panel_Decision_from_Pre-Appeal_Brief_Decision.pdf	80929	no	3
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27	NPL Documents	99-HSX001CIP2_Examiners_Answer.pdf	737136	no	9
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28	NPL Documents	05-HSX001CIP_100226_Notice_of_Panel_Decision_from_Pre-Appeal_Brief_Review.pdf	76769	no	2
			9e1cb94d6836075d2910317f87ee5e99da47ec20		
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29	NPL Documents	10822484_allowance_prebrief_oa2004.pdf	929064 d9e508fa575dbc2ae42bb809ee6ebc5e82ad2dae	no	25
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30	NPL Documents	03-6157-C1_100817_Interview_Summary.pdf	158250 391e4cf06ec7656c1e7365ae7633c24871c4576d	no	3
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31	NPL Documents	98-HSX001-CIP3_100603_Notice_of_Panel_Decision_from_Pre-Appeal_Brief_Review.pdf	79597 46201ba345b20c0a9f5a2def01c947f37767705e	no	2
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32	NPL Documents	03-6164_091109_Interview_Summary.pdf	312955 47aabf8dc573fd3eddb2327511db28ce479e25966	no	8
Warnings:					
Information:					
Total Files Size (in bytes):			28363320		

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.